

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Oleg Siniaguine

Assignee:

Tru-Si Technologies, Inc.

Title:

Semiconductor Structures Having Multiple Conductive Layers In An

Opening, And Methods For Fabricating Same

Application No.:

Filing Date:

August 28, 2001

Examiner:

Thomas, Toniae M.

Group Art Unit: 2

2822

Docket No.:

M-9999-1D US

09/941,447

San Jose, California September 30, 2003

Director of USPTO P.O. Box 1450 Alexandria, VA 22313-1450

## lexandria, VA 22313-1450 INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(C) WITH CERTIFICATION UNDER 37 C.F.R. § 1.97(E)(1)

Dear Sir:

Pursuant to 37 C.F.R. § § 1.56, 1.97 and 1.98, Applicants wish to call the documents listed on the enclosed Form PTO-1449 (1 page) to the Examiner's attention for the above-identified patent application. Copies of the listed documents are enclosed. The documents were first cited by the European Patent Office in a corresponding PCT Application

No. PCT/US 02/05371. The relevance of any foreign language documents is explained in the accompanying English abstracts and the enclosed PCT International Search Report.

Citation of the above documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
  - 2. a representation that a search has been made, other than as described above; or

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**Application No.: 09/941,447** 

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2. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The undersigned hereby certifies in accordance with § 1.97(e)(1) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

## Statement under 37 C.F.R. §1.704(d)

Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

Please charge the amount of \$180.00 to deposit account 50-2257. Also, please charge any additional fees required and credit any overpayment to our Deposit Account No. 50-2257. This form is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Director of USPTO, P.O. Box 1450, Alexandria, VA 22313-1450, on September 30, 2003.

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Attorney for Applicant(s)

Date of Signature

Respectfully submitted,

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Michael Shenker

Attorney for Applicant(s)

Reg. No. 34,250

| U.S. Department of Commerce, Patent and Trademark Office  |          |                    |                 |                  | Atty Docket No. |              |          | Serial No.  |      |  |
|---|----------|--------------------|-----------------|------------------|-----------------|--------------|----------|-------------|------|--|
|   |          |                    |                 |                  | M-9999-1D US    |              |          | 09/941,447  |      |  |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT   |          |                    |                 |                  |                 | Applicant(s) |          |             |      |  |
| (Use several sheets if necessary)   |          |                    |                 |                  | Oleg Siniaguine |              |          |             |      |  |
| 0CT 0 6 2003  | <u>a</u> |                    |                 |                  | Filing Date     |              |          | Group       |      |  |
| U.S. Patent Documents   |          |                    |                 |                  | August 28, 2001 |              |          | 2822        |      |  |
| U.S. Patent Documents   |          |                    |                 |                  |                 |              |          |             |      |  |
| *Examiner<br>Initial  |          | Document<br>Number | Date            | Name             |                 | Class        | Subclass | Filing I    |      |  |
| Illitiai  | AA       | 5,229,647          | 20 Jul 1993     | Gnadinger        | . 1             | Class        | Subclass | II Approj   | mate |  |
|   | AB       | 5,270,261          | 14 Dec. 1993    | Bertin et al.    |                 |              |          |             |      |  |
|   | AC       | 5,528,080          | 18 Jun. 1996    | Goldstein        |                 |              |          |             |      |  |
|   | AD       | 6,002,177          | 14 Dec. 1999    | Gaynes et al.    |                 |              |          |             |      |  |
|   | AE       | 6,104,043          | 15 Aug. 2000    | Hermansson et al |                 |              | ·        |             |      |  |
| ,   | AF       | 6,122,187          | 19 Sep. 2000    | Ahn et al.       |                 |              |          |             |      |  |
| · <u>· · · · · · · · · · · · · · · · · · </u>   | AG       | 6,184,060          | 6 Feb. 2001     | Siniaguine       |                 |              |          |             |      |  |
|   | AH       |                    |                 |                  |                 |              |          |             |      |  |
| 1   | AI       |                    |                 |                  |                 |              |          |             |      |  |
| ·   | AJ       |                    |                 |                  |                 |              | -        |             |      |  |
|   | AK       |                    |                 |                  |                 |              |          |             |      |  |
|   |          |                    | Foreign         | Patent Documents | •               |              |          |             |      |  |
|   |          |                    |                 |                  |                 |              |          | Translation |      |  |
|   |          | Document           | Date            | Country          |                 | Class        | Subclass | Yes         | No   |  |
|   | AL       |                    |                 |                  |                 |              |          |             |      |  |
|   | AM       |                    |                 |                  |                 |              |          |             |      |  |
|   | AN       |                    |                 |                  |                 |              |          |             |      |  |
|   | AO       |                    |                 |                  |                 |              |          |             |      |  |
|   | AP       |                    |                 |                  |                 |              |          |             |      |  |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)  |          |                    |                 |                  |                 |              |          |             |      |  |
|   | AQ       |                    |                 |                  |                 |              |          |             |      |  |
| ;   |          |                    |                 |                  |                 |              |          |             |      |  |
|   | AR       |                    |                 |                  |                 |              |          |             |      |  |
|   |          | ·····              |                 |                  |                 |              |          |             |      |  |
|   | AS       |                    |                 |                  |                 |              |          |             |      |  |
|   |          |                    |                 |                  |                 |              | ····     |             |      |  |
| Examiner  |          |                    | Date Considered |                  |                 |              |          |             |      |  |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant. |          |                    |                 |                  |                 |              |          |             |      |  |